

No	Originating Department	Risk Description	Days	% of total
1	Audit risk	9 - Provision and continuation of the Schools Nursing Service	10	1
2	Consolidated risk	1 - Ineffective Resource Allocation System (RAS)	12	1
3	Audit risk	8 - Academy and secondary age conversions impact home to school transport policy	12	1
4	Consolidated risk	10 - Multiple service areas fail to meet current MTFS reductions	12	1
5	Chief Executives	11 - Delivery of additional savings over those already allocated to departments	20	2
6	Corporate Resources	2 - Uncertainty over Social Care funding	22	2
7	Corporate Resources	21 - Unallocated - Including advice	23	2
8	Corporate Resources	12 - Challenges caused by the Welfare Reform Act	24	2
9	Consolidated risk	20 - Governance	32	2
10	Audit risk	4 - Supporting Leicestershire Families (SLF) outcomes not achieved	40	3
11	Chief Executives	19 - Counter fraud and corruption	40	3
12	Audit risk	17 - Employee management	48	4
13	Audit risk	5 - EMSS – systems implementation results in disruption	68	5
14	Consolidated risk	13 - Failure to ascertain and manage increased demand for services	68	5
15	Unallocated	6 - ICT systems maintenance and restoration	82	6
16	Adults & Communities	7 - Failure of information security.	82	6
17	Consolidated risk	3 - Partnership working doesn't return best outcomes	90	7
18	Adults & Communities	14 - Effective contract management of devolved services in new service delivery models	107	8
19	Environment & Transport	16 - New processes and developments	122	9
20	Consolidated risk	15 - Insufficient Information & Technology solutions	195	15
21	Public Health	18 - Providing assurance - External Auditor, s151 & other organisations	216	16
			1325	100

2013/14 Internal Audit Resource allocated against Corporate and Audit Risk

Appendix 4

Risk Description

- 18 - Providing assurance - External Auditor, s151 & other organisations
- 15 - Insufficient Information & Technology solutions
- 16 - New processes and developments
- 14 - Effective contract management of devolved services in new service delivery models
- 3 - Partnership working doesn't return best outcomes
- 7 - Failure of information security.
- 6 - ICT systems maintenance and restoration
- 13 - Failure to ascertain and manage increased demand for services
- 5 - EMSS – systems implementation results in disruption
- 17 - Employee management
- 19 - Counter fraud and corruption
- 4 - Supporting Leicestershire Families (SLF) outcomes not achieved
- 20 - Governance
- 12 - Challenges caused by the Welfare Reform Act
- 21 - Unallocated - Including advice
- 2 - Uncertainty over Social Care funding
- 11 - Delivery of additional savings over those already allocated to departments
- 10 - Multiple service areas fail to meet current MTFS reductions
- 8 - Academy and secondary age conversions impact home to school transport policy
- 1 - Ineffective Resource Allocation System (RAS)
- 9 - Provision and continuation of the Schools Nursing Service

Days

